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NO. 687 P. 1

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|  |   |                          |                |
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| <b>CERTIFICATE OF FACSIMILE TRANSMISSION 37 CFR 1.8</b>  |   |                          | Matter No.     |
| Applicant(s): Kenneth D. MacKenzie   |   |                          | 394448         |
| Serial No.   | Filing Date   | Examiner                 | Group Art Unit |
| 09/901,828   | July 10, 2001   | Susanna M. Meinecke Diaz | 3623           |
| Invention  | System For Analyzing Results Of An Employee Survey To Determine Effective Areas Of Organizational Improvement |                          |                |
| <p>I hereby certify that this Transmittal Form (1 page); Status Inquiry (2 pages); are being sent via facsimile transmission to Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 1st day of September, 2004</p> |   |                          |                |
| <p><u>Janet Ridpath</u><br/><small>Name of Transmitter</small></p>   |   |                          |                |
| <p><u>Janet Ridpath</u><br/><small>Signature of Transmitter</small></p>  |   |                          |                |
| <p><u>(703) 872-9306</u><br/><small>Facsimile No.</small></p>  |   |                          |                |

PTO/SB/21 (04-04)

Approved for use through 07/31/2008. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

|  |  |                        |                          |
|--|--|------------------------|--------------------------|
|  |  | Application Number     | 09/901,828               |
|  |  | Filing Date            | July 10, 2001            |
|  |  | First Named Inventor   | Kenneth D. Mackenzie     |
|  |  | Art Unit               | 3623                     |
|  |  | Examiner Name          | Susanna M. Meinecke Diaz |
| Total Number of Pages in This Submission |  | Attorney Docket Number | 394448                   |

**ENCLOSURES (check all that apply)**

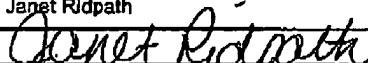
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| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> After Allowance Communication to Technology Center (TC)        |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment / Reply                                   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Status Letter                                       |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Terminal Disclaimer  | <input checked="" type="checkbox"/> Other Enclosure(s)<br>(please identify below):      |
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| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> CD, Number of CD(s) _____                                      |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              |   |   |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application   |   |   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |   |
| Remarks  |   |   |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                         |   |
|-------------------------|---|
| Firm or Individual name | Peter C. Knops<br>LATHROP & GAGE LC   |
| Signature               |  |
| Date                    | September 1, 2004   |

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below:

|                       |   |      |                   |
|-----------------------|---|------|-------------------|
| Typed or printed name | Janet Ridpath   | Date | September 1, 2004 |
| Signature             |  |      |                   |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT  
Attorney Docket No.: 394448  
Sent Via Facsimile (703) 872-9306

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Kenneth MacKenzie  
Serial No. 09/901,828  
Filed: July 10, 2001  
For: System For Analyzing Results Of  
An Employee Survey To Determine  
Effective Areas Of Organizational  
Improvement

Examiner: Susanna M.  
Meinecke Diaz  
Group No. 3623  
Customer No. 30954

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**STATUS INQUIRY**

1. More than one year and seven months have passed since:

NEW APPLICATIONS

The filing of this application on \_\_\_\_\_.

The only communication which has been received from the Patent and Trademark office since filing our application is Notice of Publication of Application on May 30, 2002.

AMENDED APPLICATIONS

The filing of a response on \_\_\_\_\_.

No further communication has been received from the Patent and Trademark Office.

2. Kindly advise the undersigned of the present status of this application, by checking the appropriate box below. A stamped return addressed envelope is provided.

Respectfully submitted,

By: 

Peter C. Knops, Reg. No.: 37,659  
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NO. 687 P. 4

PATENT

Attorney Docket No.: 394448  
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**STATUS INQUIRY REPLY**

Application Serial No. 09/901,828 is currently

Assigned to Group \_\_\_\_\_ and awaits:

Action by Examiner.

Applicant's response to:

mailed \_\_\_\_\_